#### FORM PTO-1449

				Sheet 1 of 1
FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE	ATTY. DOCKET NO.	SERIAL NO	
(Rev. 2-32)	PATENT AND TRADEMARK OFFICE	BSI-481US	10/010 628	
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State	ment by Applicant	Ronald Rakos et al.		
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		November 7, 2001	373	<b>→</b>
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Exmr Initial	Document Number	Date	Name	Class	Sigo Classo,	Fiting Date
911	6,302,908	10/16/01	Parodi			7
	5,683,449	11/4/97	Marcade		•	
	6,165,213	12/26/00	Goicoechea et al.			73
	5,755,773	5/26/98	Evans et al.			73.
	6,193,745	2/27/01	Fogarty et al.			TED TO
dA	5,575,818	11/19/96	Pinchuk	 		

## FOREIGN PATENT DOCUMENTS

Exmr Initial	Document Number	Date	Country	Class	Sub Class	Translat YES   1	

## **OTHER DOCUMENTS**

(Including Author, Title, Date, Pertinent Pages, Etc.)

-014	1)	U.S. Serial No. 09/898,936, filed July 3, 2001 entitled IMPLANT HAVING IMPROVED FIXATION TO A BODY LUMEN AND METHOD FOR IMPLANTING THE SAME

Examiner Toursellello	Date Considered	1.21.04

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**SERIAL NO** 

10/010,628

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#### FORM PTO-1449

Sheet	1	of	1

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. BSI-481US	SERIAL
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200	6,143,002	11/07/00	Vietmeier			
700	6,210,429	04/03/01	Vardi et al.			
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

Complete if Known					
Application Number	10/010,628				
Filing Date	November 7, 2001				
First Named Inventor	Ronald Rakos (et al.)				
Art Unit	373				
Examiner Name	Ho				
Attorney Docket No.	BSI-481US				

			U.S. PATENT E	OCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
<u> </u>		US-5,961,548	10/05/99	Shmulewitz	entire document
70		US-2001/0037142 A1	11/01/01	Stelter et al.	entire document
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FOREIGN PATENT DOCUMENTS						
	<u>.</u>	Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5 (If known)</sup>	Publication Date (MM-DD-YYYY)	Applicant of Cited Document	Passages or Relevant Figures Appear	τ
		·				
Examiner				Date		
Signature	-	Dernale		Considered	1.21.04	

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<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).
<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

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SHEET 2 of 2

Complete if Known 10/010,628 **Application Number** November 7, 2001 Filing Date Ronald Rakos (et al.) First Named Inventor Art Unit 3738 **Examiner Name** Attorney Docket No. **BSI-481US** 

Date

Considered

1.21.04

**NON-PATENT LITERATURE DOCUMENTS** Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, T<sup>2</sup> Examiner Cite magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or Initials\* No.1 country where published International Search Report for corresponding International Application PCT/US02/33879, mail date OΥ October 9, 2003 

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Signature

'Applicant's unique citation designation number (optional).

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